

last time

$R$  is a noetherian ring  $\Leftrightarrow$  every ideal in  $R$  is fg

$M$  is a noetherian module  $\Leftrightarrow$  every submodule of  $M$  is fg

thm  $R$  Noetherian ring,  $M$   $R$ -module

$M$  is a Noetherian  $R$ -module  $\Leftrightarrow M$  is fg

Remark  $(\Rightarrow)$  always true,  $(\Leftarrow)$  false if  $R$  not noetherian

Hilbert's Basis Theorem

$R$  Noetherian ring  $\Rightarrow R[x]$  is a Noetherian ring  
(and so is  $R[x]$ )

Corollary  $k[x_1, \dots, x_n]$  is a Noetherian ring for any field  $k$

Rule of thumb: For nonnoetherian examples, see  $R = k[x_1, x_2, \dots]$  and its quotients

Proof of Hilbert Basis:

Let  $I \subseteq R[x]$  be an ideal. We will show  $I$  is fg

$\mathcal{J} := \{a \in R : ax^n + \text{lower order terms} \in I \text{ for some } n\} \subseteq R$

$\mathcal{J}$  is an ideal in  $R$  (exercise)  $\Rightarrow \mathcal{J}$  is fg,  $\mathcal{J} = (a_1, \dots, a_t)$

Suppose  $a_i =$  leading coefficient of  $f_i \in I$

Set  $N := \max \{\deg f_i\}$

Given any  $f \in I$  of degree  $> N$ ,

$lc(f)$  = leading term of  $f$  = combination of  $a_i$

$f$  - some combination of the  $f_i$  has  $<$  degree  $f$

$$\left( \begin{array}{l} lc(f) = x_1 a_1 + \dots + x_n a_n \\ \Rightarrow \deg \left( f - \sum_i x_i a_i f_i x^{\deg f - \deg f_i} \right) < \deg f \end{array} \right)$$

$\Rightarrow f$  - some combination of the  $f_i$  has degree  $\leq N$

$f$  = an element in  $I$  of degree  $\leq N$  + an element in  $(f_1, \dots, f_t)$

$\Rightarrow f \in I \cap (R + Rx + Rx^2 + \dots + Rx^N) + (f_1, \dots, f_t)$

$R + Rx + \dots + Rx^N$  is a fg submodule of  $R[x]$

$\Rightarrow I \cap (R + Rx + \dots + Rx^N)$  is fg, say  $= (f_{t+1}, \dots, f_s)$

then  $I = (f_1, \dots, f_t, f_{t+1}, \dots, f_s)$

So  $I$  is fg, and  $R[x]$  is a Noetherian ring

Power series case: take  $\sigma$  = lowest degree coeffs of elements in  $I$

$R \subseteq S$  subring  $\Rightarrow S$  is an algebra over  $R$ , meaning

$S$  is a ring with an  $R$ -module structure satisfying

$$r(s_1 s_2) = (rs_1) s_2 \quad \text{for all } r \in R, s_1, s_2 \in S$$

More generally, given a ring homomorphism  $\varphi: R \rightarrow S$ ,

$S$  is an algebra over  $R$  via  $\varphi$ , by setting  $r \cdot s := \varphi(r) s$ .

$\Lambda \subseteq S$  generates  $S$  as an  $R$ -algebra if

• the only subring of  $S$  containing  $\varphi(R)$  and  $\Lambda$  is  $S$

$\updownarrow$

• Every element in  $S$  is a polynomial in  $\Lambda$  with coefficients in  $\varphi(R)$

$\updownarrow$

•  $R[X]$  polynomial ring in  $|\Lambda|$  indeterminates

the ring homomorphism  $R[X] \xrightarrow{x} S$  is surjective

$$x_i \longmapsto \lambda_i$$

$\varphi: R \rightarrow S$  is algebra-finite /  $S$  is a fg  $R$ -algebra

/  $S$  of finite type over  $R$  if

$S$  can be generated by finitely many elements as an  $R$ -alg

$$S \text{ fg } R \text{ alg} \iff S = R[f_1, \dots, f_t]$$

So to recap:

$$M \text{ fg } R\text{-module} \iff M \overset{\text{as } R\text{-mod}}{\cong} R^n / N \text{ for some } n, N \subseteq R^n$$

$$S \text{ fg } R\text{-algebra} \iff S \underset{\text{as a ring}}{\cong} R[x_1, \dots, x_n] / I \text{ for some } n \text{ } I \text{ ideal}$$

here  $I =$  ideal of relations of  $S$

Remark  $\varphi: R \rightarrow S$  ring homomorphism

1)  $\varphi$  surjective  $\implies 1$  generates  $S$  over  $R \implies S$  is alg-finite over  $R$

2) to determine if  $S$  is algebra-finite over  $R$ , can factor  $\varphi$  as

$$R \longrightarrow R / \ker \varphi \xrightarrow{\varphi} S \quad \text{always}$$

so it's sufficient to consider the case when  $\varphi$  is injective

so we identify  $R \equiv \varphi(R)$

Ex Every ring is a  $\mathbb{Z}$ -algebra, but usually not a fg one

eg,  $\mathbb{Q}$  is not a fg  $\mathbb{Z}$ -algebra.

Def  $s_1, \dots, s_n$  are algebraically independent if there are no relations between them, so

$R[s_1, \dots, s_n] \cong$  polynomial ring in  $n$  variables over  $R$

Rem  $A \subseteq B \subseteq C$

$$1) \begin{cases} A \subseteq B \text{ alg-fn} \\ B \subseteq C \text{ alg-fn} \end{cases} \Rightarrow A \subseteq C \text{ alg-fn}$$

$$2) A \subseteq C \text{ algebra finite} \Rightarrow B \subseteq C \text{ algebra-finite}$$

Ex  $k$  field,  $B = k[x, xy, xy^2, xy^3, \dots] \subseteq C = k[x, y]$   
 $\underbrace{\hspace{10em}}_{\text{not alg finite over } k} \quad \underbrace{\hspace{10em}}_{\text{alg-fn}/k}$

Any finitely generated  $k$ -subalgebra of  $B$  is contained in  $k[x, y, \dots, xy^m]$   
all the elements in  $k[x, xy, \dots, xy^m]$  are linear combinations  
of terms of the form  $x^a y^{\leq am} \Rightarrow xy^{m+1} \notin k[x, \dots, xy^m]$

Corollary of Hilbert Basis thm

$R$  Noetherian  $\Rightarrow$  any fg  $R$ -alg is Noetherian

In particular, if  $k$  is a field then  $R = \underline{k[x_1, \dots, x_d]}$  is Noetherian

Remark the converse is false: many Noetherian rings are not  
fg algebras over a field.

If  $S$  is an  $R$ -algebra, we can also consider its module structure over  $R$ . We say  $S$  is module-finite if it is a fg  $R$ -mod

### Remark

- 1)  $\varphi: R \rightarrow S$  surjective  $\Rightarrow S \cong R/\ker \varphi$  is gen by 1  $\Rightarrow S$  is mod-fin
- 2) suffices to study the case when  $\varphi$  is injective

### Examples

1)  $k \subseteq L$  field extension

$L$  module-finite over  $k \Rightarrow L$  is a finite field extension of  $k$   
( $L$  is a finite dimensional  $k$ -vector space)

2)  $\mathbb{Z} \subseteq \mathbb{Z}[i]$  is module-finite: every element in  $\mathbb{Z}[i]$  looks like  $a+ib$ ,  $a, b \in \mathbb{Z}$

So in fact  $\mathbb{Z}[i]$  is a 2-generated  $\mathbb{Z}$ -module  $(1, i)$

3)  $R \subseteq R[x]$  is algebra-finite but not module-finite  
 $R[x]$  is a free  $R$ -module with basis  $\{1, x, x^2, x^3, \dots\}$   
so  $1, x, x^2, \dots$  are linearly independent but algebraically dependent.

4)  $k[x] \subseteq k[x, \frac{1}{x}]$  is not module-finite

$$\underbrace{\frac{1}{x} \cdot k[x]}_{\text{everything of the form } \frac{f(x)}{x^{\leq 1}}} \subseteq \underbrace{\frac{1}{x^2} \cdot k[x]}_{\text{everything of form } \frac{f(x)}{x^{\leq 2}}} \subseteq \underbrace{\frac{1}{x^3} \cdot k[x]}_{\text{everything } \frac{f(x)}{x^{\leq 3}}} \subseteq \dots \quad \text{infinite chain!}$$

unrelated note  $k[x, \frac{1}{x}]$  is not a field. Eg,  $1-x$  does not have an inverse.

Def  $R$   $A$ -alg

$x \in R$  is integral over  $A$  if

$$x^n + a_{n-1}x^{n-1} + \dots + a_1x + a_0 = 0$$

for some  $n \geq 1$  and  $a_i \in A$