

Previously, on Homological Algebra:

Derived functors of  $F$ :  $L_i F / R^i F / R_i F / L^i F$

(Co)homology of  $F$  (injective/projective resolution of  $-$ )

using  $\downarrow$  derived functors

Gives seq  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$ , we get a LES

the left/right derived functors of  $F$  are the only functors satisfying certain properties:

Theorem  $F, T_i: \mathcal{A} \rightarrow \mathcal{B}$  left covariant functor between abelian categories

$T_i: \mathcal{A} \rightarrow \mathcal{B}$  covariant functors satisfying:

①  $T_0 \cong F$  (naturally isomorphic)

② Given a seq  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$ , there is a LES  
 $0 \rightarrow T_0(A) \rightarrow T_0(B) \rightarrow T_0(C) \rightarrow T_1(A) \rightarrow T_1(B) \rightarrow \dots$

③  $T_i(E) = 0$  for all injectives  $E$

then  $T_i \cong L_i F$  for all  $i$  (naturally isomorphic)

Main Examples Ext and Tor

$$\text{Tor}_i^R(M, N) := H_i \left( \underbrace{(\mathcal{P} \xrightarrow{\sim} M)}_{\text{projective resolution}} \otimes_R N \right) = H_i \left( M \otimes_R \underbrace{(Q \xrightarrow{\sim} N)}_{\text{projective resolution}} \right)$$

$$\text{Ext}_R^i(M, N) := H^i \left( \text{Hom}_R \left( M, \underbrace{N \xrightarrow{\sim} E}_{\text{injective resolution}} \right) \right) = H^i \left( \text{Hom}_R \left( \underbrace{\mathcal{P} \xrightarrow{\sim} M}_{\text{projective resolution}}, N \right) \right)$$

last time  $n \in \mathbb{Z}$ ,  $M$  any abelian group

$$\text{Tor}_i^{\mathbb{Z}}(\mathbb{Z}/n, M) = \begin{cases} M/nM & i=0 \\ (0 :_M n) & i=1 \\ 0 & i \geq 2 \end{cases}$$

$$\text{Ext}_{\mathbb{Z}}^i(\mathbb{Z}/n, M) = \begin{cases} (0 :_M n) & i=0 \\ M/nM & i=1 \\ 0 & i \geq 2 \end{cases}$$

We can also find these from a LES:

$$0 \rightarrow \mathbb{Z} \xrightarrow{n} \mathbb{Z} \rightarrow \mathbb{Z}/n \rightarrow 0 \text{ ses}$$

$$\Big\} \text{Hom}_R(-, M)$$

LES:

$$0 \rightarrow \text{Hom}_{\mathbb{Z}}(\mathbb{Z}/n, M) \rightarrow \text{Hom}_{\mathbb{Z}}(\mathbb{Z}, M) \xrightarrow{n^*} \text{Hom}_{\mathbb{Z}}(\mathbb{Z}, M) \rightarrow \text{Ext}_{\mathbb{Z}}^1(\mathbb{Z}/n, M) \rightarrow 0$$

$$\begin{array}{ccccccc} & & \parallel & & \parallel & & \\ & & \mathbb{Z} & \xrightarrow{n} & \mathbb{Z} & & \text{Ext}_{\mathbb{Z}}^1(\mathbb{Z}, M) \\ & & & & & & (\mathbb{Z} \text{ projective}) \end{array}$$

so  $\text{Ext}_{\mathbb{Z}}^1(\mathbb{Z}/n, M) = \text{kernel}(\mathbb{Z} \xrightarrow{n} \mathbb{Z}) = M/nM.$

Easy facts:

- $R$  Noetherian,  $M, N$  fg  $\Rightarrow \text{Ext}_R^i(M, N), \text{Tor}_i^R(M, N)$  fg
- $\text{ann}_R(M), \text{ann}_R(N)$  kill  $\text{Ext}_R^i(M, N), \text{Tor}_R^i(M, N)$

$R$  ring,  $M$   $R$ -module

the projective dimension of  $M$  is

$$\text{pdim}_R(M) := \inf \left\{ c \mid \begin{array}{c} 0 \rightarrow \mathcal{P}_c \rightarrow \dots \rightarrow \mathcal{P}_0 \rightarrow 0 \\ \text{is a projective resolution of } M \end{array} \right\}$$

the injective dimension of  $M$  is

$$\text{injdim}_R(M) := \inf \left\{ c \mid \begin{array}{c} 0 \rightarrow E_0 \rightarrow \dots \rightarrow E_c \rightarrow 0 \\ \text{is an injective resolution of } M \end{array} \right\}$$

In the graded/local setting,

$\text{projdim}(M) :=$  length of a minimal free resolution

Note  $\text{pdim}(M) = 0 \Leftrightarrow M$  projective

$\text{injdim}(M) = 0 \Leftrightarrow M$  injective

Projective/injective dimension can be infinite

Example  $R = k[x]/(x^2)$  (local ring),  $M = R/(x) = k = R/\mathfrak{m}$

$$\dots \rightarrow R \xrightarrow{x} R \xrightarrow{x} R \xrightarrow{x} R \rightarrow k \rightarrow 0$$

$$\text{pdim}_R(k) = \infty$$

Remark If  $\text{pdim}_R(M) = n < \infty$ , then

$$\text{Tor}_i^R(M, -) = 0 \text{ and } \text{Ext}_R^i(M, -) = 0 \text{ for } i > n$$

$$\text{Also, } \text{injdim}_R(M) = n < \infty \Rightarrow \text{Ext}_R^i(-, M) = 0 \text{ for } i > n$$

Theorem

$(R, \mathfrak{m}, k)$  Noetherian local ring  
 $\alpha$   
 $N$ -graded  $k$ -algebra,  $\mathfrak{m} = R_+$ ,  $R_0 = k$

If  $M$  is a f.g. (graded)  $R$ -module,

$$\beta_i(M) = \dim_k \text{Tor}_i^R(M, k) = \dim_k \text{Ext}_R^i(M, k)$$

Proof  $\mathbb{F}$  minimal free resolution for  $M$

$$\cdots \rightarrow \mathbb{F}_i \xrightarrow{\varphi_i} \mathbb{F}_{i-1} \rightarrow \cdots \rightarrow \mathbb{F}_0 \rightarrow 0$$

$\mathbb{F}$  minimal  $\Rightarrow$  all the entries in  $\varphi_i$  are in  $\mathfrak{m}$

$$\mathbb{F}_i \otimes_R k = R^{\beta_i(M)} \otimes_R k = k^{\beta_i(M)} \quad \text{all in } \mathfrak{m}!$$

$\Rightarrow \varphi_i \otimes_R k =$  take all the entries of  $\varphi_i$  as elements of  $k = 0$

$$\Rightarrow \mathbb{F} \otimes_R k := \cdots \xrightarrow{0} k^{\beta_i(M)} \xrightarrow{0} \cdots \xrightarrow{0} k^{\beta_0(M)} \rightarrow 0$$

$$\Rightarrow \text{Tor}_i^R(M, k) = k^{\beta_i(M)} \Rightarrow \beta_i(M) = \dim_k \text{Tor}_i^R(M, k)$$

$$\text{Hom}_R(\mathbb{F}_i, k) = \text{Hom}_R(R^{\beta_i(M)}, k) \cong k^{\beta_i(M)}$$

$$\text{Hom}_R(\psi_i, k) = \text{Transpose } \psi_i, \text{ see all entries in } k = 0$$

$$\text{Hom}_R(\mathbb{F}, k) = 0 \rightarrow k^{\beta_0(M)} \xrightarrow{0} k^{\beta_1(M)} \xrightarrow{0} \dots \xrightarrow{0} k^{\beta_i(M)} \rightarrow \dots$$

$$\text{Ext}_R^i(M, k) = k^{\beta_i(M)} \Rightarrow \beta_i(M) = \dim_k \text{Ext}_R^i(M, k)$$

□

Corollary  $\text{pdim}_R(M) \leq \text{pdim}_k(R)$

Exercise  $\beta_{i,j}(M) = \dim_k(\text{Tor}_i^R(M, N))_j = \dim_k(\text{Ext}_R^i(M, N))_j$

Hopes and dreams Can we an explicit minimal free resolution of  $k$  find?

Koszul complex  $R$  ring,  $M$   $R$ -module

• on  $\underline{x} \in R$  is the complex

$$K(\underline{x}) := 0 \rightarrow R \xrightarrow{\cdot \underline{x}} R \rightarrow 0$$

• on  $\underline{x} = x_1, \dots, x_n$  is defined by

$$K(\underline{x}) = K(x_1, \dots, x_n) := K(x_1, \dots, x_{n-1}) \otimes_R K(x_n)$$

• on  $M$  with respect to  $\underline{x} = x_1, \dots, x_n$  is

$$K(\underline{x}; M) = K(x_1, \dots, x_n; M) := K(x_1, \dots, x_n) \otimes_R M$$

Ex

$$R(x, y) = \begin{array}{ccccccc} 0 & \rightarrow & R & \xrightarrow{x} & R & \rightarrow & 0 \\ & & & & \oplus & & \\ 0 & \rightarrow & R & \xrightarrow{y} & R & \rightarrow & 0 \end{array}$$

~ double complex

$$\begin{array}{ccc} R \oplus R & \xleftarrow{x} & R \oplus R \\ y \downarrow & & \downarrow -y \\ R \oplus R & \xleftarrow{x} & R \oplus R \end{array}$$

0                      1

⇒ total complex

$$0 \rightarrow R \xrightarrow{\begin{pmatrix} -y \\ x \end{pmatrix}} R^2 \xrightarrow{\begin{pmatrix} x & y \end{pmatrix}} R \rightarrow 0$$

Koszul  
Complex  
on  $x, y$

Alternative construction: using exterior algebras

$$\Lambda M = R \oplus \underbrace{M}_{\Lambda^1 M} \oplus \underbrace{M \otimes M}_{\Lambda^2 M} \oplus \underbrace{M \otimes M \otimes M}_{\Lambda^3 M} \oplus \dots / \langle x \otimes y + y \otimes x, x \otimes x \rangle$$

with product denoted  $\wedge$  is a skew commutative algebra:

$$a \wedge b = (-1)^{\deg a \deg b} b \wedge a \quad \text{for } a, b \text{ homogeneous}$$

$$\Lambda^i M := \text{piece of degree } i \quad \left( \underbrace{M \otimes \dots \otimes M}_{i \text{ times}} \right)$$

so  $R^n$  free on basis  $e_1, \dots, e_n \Rightarrow \Lambda^i R^n = R \binom{n}{i}$  with basis

$$e_{i_1} \wedge \dots \wedge e_{i_s} \\ 1 \leq i_1 < \dots < i_s \leq n$$

$$\begin{aligned}
 k(x_1, \dots, x_n) &:= 0 \rightarrow \wedge^n R^n \rightarrow \wedge^{n-1} R^n \rightarrow \dots \rightarrow \wedge^1 R^n \rightarrow R \rightarrow 0 \\
 &= 0 \rightarrow R \rightarrow R^n \rightarrow \dots \rightarrow R^{\binom{n}{i}} \rightarrow \dots \rightarrow R^n \rightarrow R \rightarrow 0
 \end{aligned}$$

with differential

$$d(e_{i_1} \wedge \dots \wedge e_{i_s}) = \sum_{j=1}^s (-1)^{j+1} x_{i_j} e_{i_1} \wedge \dots \wedge \widehat{e_{i_j}} \wedge \dots \wedge e_{i_s}$$

$\uparrow$   
 delete

so the matrices have entries  $\pm x_i$

and

$$k(x_1, \dots, x_n; M) := k(x_1, \dots, x_n) \otimes_R M$$

Example

$$k(x, y) = 0 \rightarrow R \xrightarrow{\begin{pmatrix} x \\ y \end{pmatrix}} R^2 \xrightarrow{\begin{pmatrix} x & y \end{pmatrix}} R \rightarrow 0$$

$$\begin{aligned}
 d(e_1) &= x \\
 d(e_2) &= y
 \end{aligned}$$

$$\begin{aligned}
 d(e_1 \wedge e_2) &= x e_2 + (-1)^1 y e_1 \\
 &= x e_2 - y e_1
 \end{aligned}$$

ith Koszul homology of  $M$  with respect to  $\underline{x} = x_1, \dots, x_n$

$$H_i(\underline{x}; M) := H_i(k(\underline{x}; M))$$

Properties :  $R$  ring  
 $\underline{x} = x_1, \dots, x_n \in R$   
 $\mathfrak{I} = (x_1, \dots, x_n)$   
 $M$   $R$ -module

- ①  $H_i(\underline{x}; M) = 0$  for  $i < 0$  or  $i > n$
- ②  $H_0(\underline{x}; M) = M / \mathfrak{I}M$
- ③  $H_n(\underline{x}; M) = (0 :_M \mathfrak{I}) = \text{ann}_M(\mathfrak{I})$
- ④  $H_i(\underline{x}; M)$  is killed by  $\text{ann}(M)$  for all  $i$
- ⑤  $H_i(\underline{x}; M)$  is killed by  $\mathfrak{I}$  for all  $i$
- ⑥  $M$  Noetherian  $\Rightarrow H_i(\underline{x}; M)$  Noetherian for all  $i$
- ⑦  $H_i(\underline{x}; -)$  is a covariant additive functor
- ⑧ Every seq  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  gives rise to a LES
 
$$\dots \rightarrow H_{i+1}(\underline{x}; C) \rightarrow H_i(\underline{x}; A) \rightarrow H_i(\underline{x}; B) \rightarrow H_i(\underline{x}; C) \rightarrow \dots$$

$$\dots \rightarrow H_1(\underline{x}; C) \rightarrow H_0(\underline{x}; A) \rightarrow H_0(\underline{x}; B) \rightarrow H_0(\underline{x}; C) \rightarrow 0.$$

Sketch : ①  $H_i(\underline{x}; M) = 0$  for  $i > n$  and  $i < 0$

②  $H_0(\underline{x}; M) = H\left(M^n \xrightarrow{(x_1, \dots, x_n)} M \rightarrow 0\right) = M / \mathfrak{I}M$



$$\begin{aligned} \textcircled{3} \quad H_n(\underline{x}; M) &= H\left(0 \rightarrow M \xrightarrow{\begin{pmatrix} x_1 \\ -x_2 \\ \vdots \\ \pm x_n \end{pmatrix}} M^n \rightarrow 0\right) \\ &= \left\{ m \in M \mid x_1 m = -x_2 m = x_3 m = \dots = \pm x_n m = 0 \right\} \\ &= (0 :_M \mathbf{I}) \end{aligned}$$

$$\textcircled{4} \quad K_i(\underline{x}; M) = M \binom{n}{i} \quad \text{killed by } \text{ann}(M)$$

$\textcircled{5} \quad a \in \mathbf{I} \Rightarrow \cdot a$  is null homotopic

$$a = a_1 x_1 + \dots + a_n x_n$$

Idea:  $K_i(\underline{x}; M) \xrightarrow{(a_1 e_1 + \dots + a_n e_n) \wedge -} K_{i+1}(\underline{x}; M)$

is a null homotopy of  $K_i(\underline{x}; M) \xrightarrow{\cdot x} K_i(\underline{x}; M)$

$\textcircled{6} \quad M$  Noetherian  $\Rightarrow M^k$  Noetherian, and all its submodules and quotients

$$\textcircled{7} \quad M \xrightarrow{f} N \rightsquigarrow K(\underline{x}; M) \xrightarrow{K(\underline{x}) \otimes f} K(\underline{x}; N)$$

$\rightsquigarrow$  take homology

$\textcircled{8} \quad K(\underline{x})_i$  free for all  $i \Rightarrow K(\underline{x}) \otimes_R$ -exact

$$\Rightarrow 0 \rightarrow K(\underline{x}) \otimes_R A \rightarrow K(\underline{x}) \otimes_R B \rightarrow K(\underline{x}) \otimes_R C \rightarrow 0 \text{ is}$$

$$\Rightarrow \text{LES in homology, where } H_{-1}(K(\underline{x}) \otimes_R A) = 0$$

Remark  $C = k(x_1, \dots, x_i; M)$

$$k(x_1, \dots, x_{i+1}; M) = C \otimes k(x_{i+1})$$

so

$$\left[ k(x_1, \dots, x_{i+1}; M) \right]_n = C_{n-1} \otimes_{\mathbb{R}_1} \mathbb{R} \oplus C_n \otimes_{\mathbb{R}_0} \mathbb{R} \cong C_{n-1} \oplus C_n$$

$$\begin{array}{ccc} & \xleftarrow{d} & C_{n-1} \otimes \mathbb{R} \\ & & \downarrow (-1)^{n-1} x_{i+1} \\ C_{n-1} \otimes \mathbb{R} & \xleftarrow{d} & C_n \otimes \mathbb{R} \end{array}$$

$$d(a \otimes x + b \otimes \delta) = d(a) \otimes x + (-1)^{n-1} a \otimes d(x) + d(b) \otimes \delta + 0$$

$$= d(a) \otimes x + (-1)^{n-1} x_{i+1} a \otimes x + d(b) \otimes \delta$$

so  $d = \begin{pmatrix} d_C & 0 \\ (-1)^{n-1} x_{i+1} & d_C \end{pmatrix}$  and

$$k(x_1, \dots, x_{i+1}; M) = \text{Cone} \left( k(x_1, \dots, x_i; M) \xrightarrow{\cdot x_{i+1}} k(x_1, \dots, x_i; M) \right)$$

so get LES

$$\dots \rightarrow H_n(x_1, \dots, x_i; M) \xrightarrow{\cdot x_{i+1}} H_n(x_1, \dots, x_i; M) \rightarrow H_{n-1}(x_1, \dots, x_{i+1}; M) \rightarrow \dots$$

$$\left\{ \begin{array}{l} \Rightarrow \ker(H_n(x_1, \dots, x_i; M) \rightarrow H_{n-1}(x_1, \dots, x_{i+1}; M)) \\ = \text{im}(H_n(x_1, \dots, x_i; M) \xrightarrow{\cdot x_{i+1}} H_n(x_1, \dots, x_i; M)) = x_{i+1} H_n(x_1, \dots, x_i; M) \\ \Rightarrow \text{im}(H_n(x_1, \dots, x_i; M) \rightarrow H_{n-1}(x_1, \dots, x_{i+1}; M)) = \ker(\alpha_{i+1}) \end{array} \right.$$

$$0 \rightarrow \frac{H_n(x_1, \dots, x_i; M)}{x_{i+1} H_n(x_1, \dots, x_i; M)} \rightarrow H_{n-1}(x_1, \dots, x_{i+1}; M) \rightarrow \text{ann}_{H_{n-1}(x_1, \dots, x_i; M)}^{(x_{i+1})} \rightarrow 0$$

## Regular sequences:

$R$  ring,  $M$   $R$ -module

$x \in R$  is regular on  $M$  if  $xm = 0 \Rightarrow m = 0$  for any  $m \in M$

$x_1, \dots, x_n \in R$  is a regular sequence on  $M$  if

- $(x_1, \dots, x_n)M \neq M$
- $x_i$  regular on  $M/(x_1, \dots, x_{i-1})M$  for each  $i$

Remark  $x_i$  regular on  $M/(x_1, \dots, x_{i-1})M$

$$\Leftrightarrow ((x_1, \dots, x_{i-1})M :_M x_i) = (x_1, \dots, x_{i-1})M$$

$$\Leftrightarrow \text{ann}_{M/(x_1, \dots, x_{i-1})M}(x_i) = 0$$

## Examples

①  $R = k[x_1, \dots, x_n]$ ,  $k$  field

$x_1, \dots, x_n$  is a regular sequence on  $R$

②  $R = k[x, y, z]$ ,  $k$  field

$xy, yz$  not a regular sequence

③  $R = k[x, y, z]$ ,  $k$  field

$x, (x-1)y, (x-1)z$  is regular, but

$(x-1)y, (x-1)z, x$  is not a regular sequence

order matters!

Remark  $x \in R$  is regular  $\Leftrightarrow \ker(M \xrightarrow{x} M) = (0 :_M x) = 0$

$$\Leftrightarrow H_1(x; M) = 0$$

Theorem  $\underline{x} = x_1, \dots, x_n$  regular sequence on  $M$

$$\Rightarrow H_i(\underline{x}; M) = 0 \text{ for all } i \geq 1$$

Proof Induction on  $n$ .

$n=1$  : Remark.

$n > 1$ : Suppose  $H_j(x_1, \dots, x_i; M) = 0$  for all  $j \geq 1$

LES:

$$\cdots \rightarrow \underbrace{H_j(x_1, \dots, x_i; M)}_{j \geq 1 \Rightarrow = 0} \rightarrow \underbrace{H_j(x_1, \dots, x_i; M)}_{= 0} \rightarrow \underbrace{H_{j-1}(x_1, \dots, x_i; M)}_{= 0 \text{ for } j-1 \geq 1} \xrightarrow{x_{i+1}} \cdots$$

$$0 \rightarrow \frac{H_j(x_1, \dots, x_i; M)}{x_{i+1} H_j(x_1, \dots, x_i; M)} \rightarrow H_{j-1}(x_1, \dots, x_{i+1}; M) \rightarrow \text{ann}_{H_{j-1}(x_1, \dots, x_i; M)}(x_{i+1}) \rightarrow 0$$

$x_{i+1}$  regular on  $M / (x_1, \dots, x_i)M = H_0(x_1, \dots, x_i; M)$

$$\Rightarrow \text{ann}_{H_0(x_1, \dots, x_i; M)}(x_{i+1}) = 0$$

$$0 \rightarrow \frac{H_1(x_1, \dots, x_i; M)}{x_{i+1} H_1(x_1, \dots, x_i; M)} \rightarrow H_1(x_1, \dots, x_{i+1}; M) \rightarrow \underbrace{\text{ann}_{H_0(x_1, \dots, x_i; M)}(x_{i+1})}_{= 0} \rightarrow 0$$

$\parallel$   
0  
by hypothesis

$$\Rightarrow H_1(x_1, \dots, x_{i+1}; M) = 0$$

Corollary  $\underline{x} = x_1, \dots, x_n$  regular sequence on  $R$

$\Rightarrow$  the Koszul complex  $K(\underline{x})$  is a free resolution for  $R/(x_1, \dots, x_n)$

In the graded/local case, this free resolution is minimal

Hilbert Syzygy Theorem  $k$  field

Every fg graded module over  $R = k[x_1, \dots, x_d]$  has  
 $\text{pdim}(M) \leq d$ .

Proof the Koszul complex  $K(x_1, \dots, x_d)$  is a  
minimal free resolution of  $R/(x_1, \dots, x_d) = k$  (!) so

$$\text{pdim}_R(k) = d$$

$$\therefore \text{pdim}_R(M) \leq \text{pdim}_R(k) \leq d.$$